

RECEIVED  
CENTRAL FAX CENTER

JAN 27 2004



RatnerPrestia

WE SPECIALIZE IN THE LAW OF CREATIVITY®



Suite 301, One Westlakes, Berwyn  
P.O. Box 980  
Valley Forge, PA 19482-0980  
Phone: 610-407-0700  
Fax: 610-407-0701



Nemours Building  
1007 Orange Street, Suite 1100  
P.O. Box 1596  
Wilmington, DE 19899  
Phone: 302-778-2500  
Fax: 302-778-2600



Suite 265  
Commerce Corporate Center  
5100 Tilghman Street  
Allentown, PA 18104  
Phone: 610-530-8100  
Fax: 610-530-8200

[www.ratnerprestia.com](http://www.ratnerprestia.com)**FACSIMILE COVER SHEET**DATE: January 23, 2004

OUR REF.:

ORA5005USANP(J&JO-105US)

TIME: \_\_\_\_\_

YOUR REF.:

10/679,913

TO:	U.S. Patent and Trademark Office
COMPANY:	
FROM:	Robert L. Andersen
FAX TELEPHONE:	703-872-9306
OFFICE TELEPHONE:	
TITLE OF DOCUMENT:	Communication w/encls (POA and Corresp. Address Change & Statement Under 37 CFR 3.73(b) Copy of assignment)

Total Number of Pages: 7 (including this form)

COMMENTS

**CONFIDENTIAL AND PRIVILEGED ATTORNEY/CLIENT INFORMATION**

This facsimile transmission (and/or documents accompanying it) may contain attorney/client privileged communications and confidential business information that is intended for use only by the individual or company to whom it is addressed. Disclosure, interception, copying or any other use of this transmission by anyone other than any intended recipient is prohibited. If you receive this transmission by mistake, please notify the sender.

***Please notify us immediately if you have not received the number of pages indicated above.***

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 6

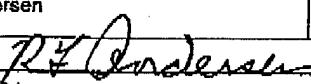
Application Number	10,679,913
Filing Date	October 6, 2003
First Named Inventor	James Ronald Lawyer
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket No.	ORA500SUSANP(J&JO-105US)

### ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Communication, Statement Under 37 CFR 3.73(b)
--	--	---

Remarks:

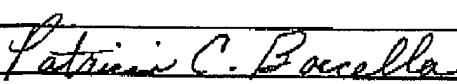
### SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual	Robert L. Andersen	Registration No. (Attorney/Agent)	25,771
Signature			
Date	January 23, 2004		

### CERTIFICATE OF TRANSMISSION / MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:

January 23, 2004

Name (Print/Type)	Patricia C. Boccella
Signature	
Date	January 23, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

ORA5005USANP(J&amp;JO-105US)

PATENT

RECEIVED  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE CENTRAL FAX CENTER

Appln. No: 10,679,913 JAN 27 2004  
 Applicant: James Ronald Lawter  
 Filed: October 6, 2003  
 Title: MUCOADHESIVE TETRACYCLINE FORMULATIONS  
 TC/A.U.: Unknown  
 Examiner: Unknown

COMMUNICATION

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

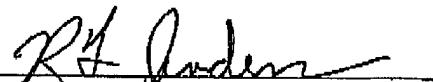
Sir :

Attached is an executed Power of Attorney and Correspondence Address Indication Form and a Statement Under 37 CFR 3.73(b). All future correspondence should now be directed to the attention of

Robert L. Andersen  
 RatnerPrestia  
 P.O. Box 980  
 Valley Forge, PA 19482-0980

Phone: 610-407-0700  
 Fax: 610-407-0701

Respectfully submitted,

  
 Robert L. Andersen, Reg. No. 25,771  
 Attorney for Applicant

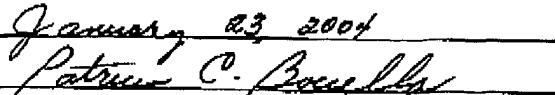
RLA/pb

Dated: January 23, 2004

P.O. Box 980  
 Valley Forge, PA 19482-0980  
 (610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office (703-872-9306) on the date shown below.

  
 January 23, 2004  
 Patricia C. Bawden

PCB0CC01\_I:\J&JO\105US\COMMUNICATION.DOC

I:\J&JO\105US\Communication.DOC

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

POWER OF ATTORNEY  
AND  
CORRESPONDENCE ADDRESS  
INDICATION FORM

Application Number	10/679,913
Filing Date	October 6, 2003
First Named Inventor	James Ronald Lawter
Title	MUCOADHESIVE TETRACYCLINE FORMULATIONS
Art Unit	
Examiner Name	
Attorney Docket Number	QRA5005USANP (J&JO-105US)

I hereby appoint

 Practitioners associated with the Customer Number:

23122

OR

 Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

 The address associated with the above-mentioned Customer Number

OR

 The address associated with Customer Number: 

OR

 Firm or  
Individual Name

Address

Address

City

Country

State

Zip

Telephone

Fax

I am the:

 Applicant/Inventor. Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

## SIGNATURE of Applicant or Assignee of Record

Name

Timothy E. Tracy

Signature

T E Tracy

Date

5/18/04

Telephone

732-524-8586

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

 \*Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance completing the form, call 1-800-PTO-9199 and select option 2.

ORAS003USANP (J&amp;JO-104US)

PTO/SB/95 (04-03) (AW 09/03)  
 Approved for use through 04/30/2003. OMB 0651-0031  
 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: OraPharma, Inc.Application No./Patent No.: 10/679,913 Filed/Issue Date: October 6, 2003Entitled: MUCOADHESIVE TETRACYCLINE FORMULATIONS

states that it is:

- 1  the assignee of the entire right, title, and interest; OR
- 2  an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is        %

in the patent application/patent identified above by virtue of either:

A.  An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel       , Frame       , or for which a copy thereof is attached.

OR

B.  A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel       , Frame       , or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel       , Frame       , or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel       , Frame       , or for which a copy thereof is attached.

Additional documents in the chain of title are listed on a supplemental sheet.

Copies of assignments or other documents in the chain of title are attached.

**[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8.]**

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

11/21/04

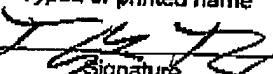
Date

732-624-6586

Telephone Number

Timothy E. Tracy

Typed or printed name



Assistant Secretary

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance completing the form, call 1-800-PTO-9199 (1-800-760-9199) and select option 2.